



Fifth Program Year CAPER

The CPMP Fifth Consolidated Annual Performance and Evaluation Report includes Narrative Responses to CAPER questions that CDBG, HOME, HOPWA, and ESG grantees must respond to each year in order to be compliant with the Consolidated Planning Regulations. The Executive Summary narratives are optional.

The grantee must submit an updated Financial Summary Report (PR26).

GENERAL

Executive Summary

This module is optional but encouraged. If you choose to complete it, provide a brief overview that includes major initiatives and highlights that were proposed and executed throughout the first year.

Program Year 5 CAPER Executive Summary response:

The City of Avondale (City) Consolidated Annual Performance and Evaluation Report (CAPER) provides a summary of the activities undertaken by the City with respect to the expenditure of U.S. Department of Housing and Urban Development, Community Planning and Development (HUD, CPD) formula grants. Community Development Block Grant (CDBG) funding was received directly by the City for the fifth and final program year in the 2010-2014 Consolidated Plan period. Details regarding HOME Investment Partnership Program (HOME) funds received through the Maricopa County Human Services Department, by virtue of the City's membership in the Maricopa HOME Consortium, as well as the HOME funds received competitively through the Arizona Department of Housing, are also included though not required as part of this report. This CAPER reports on activities undertaken in the program year beginning July 1, 2014 to June 30, 2015. This CAPER also reports on other funds expended to achieve the City of Avondale's objectives as described in the 2010-2014 Consolidated Plan and the 2014-2015 Annual Action Plan.

The City spent or encumbered \$558,646.36 in CDBG funds, \$241,300.62 in HOME funds (combined Maricopa County and the Arizona Dept. of Housing), \$799,946.98 resulting in the following accomplishments:

CDBG Funded Activities:

- Completed seven (7) Emergency Home Repair projects
- Assisted nineteen (19) youth by providing work-readiness skills and job training
- Completed demolition and clearance of one (1) dilapidated single-family structure
- Secured an architect for one (1) façade improvement project located in Historic Avondale

HOME funded Activities: Completed 1 (one) substantial home repair project with Maricopa HOME Consortium Funds and three (3) substantial home repair projects with Arizona Department of Housing funds.

The following tables show the annual CDBG and HOME expenditures for the 2014/2015 program year.

General Questions

1. Assessment of the one-year goals and objectives:
 - a. Describe the accomplishments in attaining the goals and objectives for the reporting period.
 - b. Provide a breakdown of the CPD formula grant funds spent on grant activities for each goal and objective.
 - c. If applicable, explain why progress was not made towards meeting the goals and objectives.
2. Describe the manner in which the recipient would change its program as a result of its experiences.
3. Affirmatively Furthering Fair Housing:
 - a. Provide a summary of impediments to fair housing choice.
 - b. Identify actions taken to overcome effects of impediments identified.
4. Describe Other Actions in Strategic Plan or Action Plan taken to address obstacles to meeting underserved needs.
5. Leveraging Resources
 - a. Identify progress in obtaining "other" public and private resources to address needs.
 - b. How Federal resources from HUD leveraged other public and private resources.
 - c. How matching requirements were satisfied.

Program Year 5 CAPER General Questions response:

CDBG Assessment (Self-Evaluation) of one-year goals and objectives as stated in the 2014/2015 Action Plan.

<p>Community Development/Infrastructure and Street Improvements:</p> <p>Activity – Infrastructure (underground water/sewer lines, at-grade street reconstruction, sidewalks)</p> <p>Goal – Undertake design/scoping for reconstruction and upgrades of five (5) 03K/LMA)</p>	<p>Outcome – The City began the design/scoping phase for the eventual reconstruction of five (5) streets in the Historic Avondale area. Improvements will include upgrades to the water/sewer lines, sidewalks and sidewalk ramps (for ADA compliance) and fire hydrants as necessary. The City anticipates beginning the work in early 2017.</p>
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<p>Housing/Emergency Housing Rehabilitation:</p> <p>Activity - Conduct Emergency Home Repair eliminating health and safety hazards, code violations and lead-based paint hazards.</p> <p>Goal - Complete 14-16 units (14A/LMH)</p>	<p>Outcome - seven (7) units were completed. Typical items repaired included roofs, HVAC, electrical and plumbing, as well as other health and safety repairs.</p>
<p>Public Services/Youth Services:</p> <p>Activity - Provide workforce skills, on the job work experience for teens (Next STEP program), and post-secondary education tuition assistance</p> <p>Goal - Assist 15-20 teens (05D/LMC)</p>	<p>Outcome - 19 teens received training that provided them with work-readiness and budgeting skills. Of those students also participated in a paid work experience.</p>
<p>Economic Development/Business Assistance/Revitalization Area:</p> <p>Activity - Provide assistance to small businesses on Western Avenue through the continued implementation of a loan program, technical assistance, and a façade improvement program</p> <p>Goal - Assist 5 businesses (18C/LMA)</p>	<p>On-going technical assistance has been provided to several businesses by the City's Revitalization Manager. The City also commenced one (1) façade improvement project with architectural services completed to date, and construction completed in the 15/16 program year.</p>

HOME Assessment of one-year goals and objectives as stated in the 2014/2015 Action Plan

<p>Homebuyer Assistance:</p> <p>Activity - provide financial assistance to eligible homebuyers for the purchase of a home within the City of Avondale</p> <p>Goal - Complete 4 units</p>	<p>Outcome - the City is in the procurement process to obtain a non-profit to administer the program on behalf of the City.</p>
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The City of Avondale evaluates its programs on an on-going basis to identify strategies that will improve the quality and efficiency of programs offered. While adjustments have been made to the administrative processes (updated marketing materials, updated application forms and processes),

The City of Avondale's Analysis to Impediments to Fair Housing Choice, completed at the time of the City's 5-year planning cycle (2010), identified the following areas of concern which may be occurring in Avondale:

- Illegal housing discrimination
- Insufficient public awareness fair housing rights and resources
- The need for more effective AFFH strategies

Jurisdiction

- Increase collaboration among city departments regarding FH strategies and goals
- Decrease the disparities in home mortgage lending.
- Prevent NIMBYism
- Formalize monitoring, evaluation and data collection of FH Activities

The City accomplished and/or engaged in the following activities to overcome the effects of the above listed impediments (see Appendices for documentation of fair housing activities):

- Maintained a contact log – for FY 2014/2015; no call or inquiries were received
- Distributed fair housing literature at various city locations and at various city events
- Carried out and monitored fair housing activities, affirmative marketing strategies, encouraged affordable housing in non-minority concentration areas
- Increased collaboration/awareness of FH goals and strategies within other city departments
- Maintained active membership in the Arizona Fair Housing Partnership
- Included discussions of Fair Housing at two Southwest Valley HOA Academy events
- Published Fair Housing notices in the Avondale RAVE (published quarterly)

Avondale has invested in various programs in an effort to address and overcome the obstacles to meeting underserved needs in the community. The following tables provide accomplishments related to the expenditure of other funds used to achieve the goals of the Consolidated Plan and meet underserved needs. These funds were leveraged with the City’s CPD funding to meet the goals in the Consolidated Plan.

City of Avondale 2014/2015 Annual Action Plan Activities			
Activity	Source	Desired Output	Actual Output
Conduct Substantial Rehabilitation on Owner-Occupied Homes	ADOH: \$195,460 Maricopa HOME Consortium: \$67,595	3-4 homes	4 homes
Conduct Emergency Repair on Owner-Occupied Homes	CDBG : \$160,875	14-16 units	7 units
Disseminate lead paint hazards information	Various City facilities and events	Disseminate information to 500 homes	Disseminated lead hazard info to residents through various City events and direct marketing to potential rehabilitation participants

Housing			
Activity	Source	Desired Output	Actual Output
Conduct Substantial Rehabilitation on Owner-Occupied Homes	ADOH: \$195,460 Maricopa HOME Consortium: \$67,595	3-4 homes	4 homes
Conduct Emergency Repair on Owner-Occupied Homes	CDBG: \$160,875	14-16 units	7 units
Refer Homeowners to Maricopa County for Weatherization	Weatherization: \$30,000	3 units	3 units
Conduct homeownership programs	HOME Consortium: \$131,872	4	0 homes – procurement in process

Barriers to Affordable Housing			
Activity	Source	Desired Output	Actual Output
Conduct Fair Housing Workshop in conjunction with the Arizona Fair Housing Partnership.	10 Volunteer Hours – SWFHC	Complete 1 workshop	No Fair Housing Specific workshop held, but included in two SW HOA Academy events
Publish Fair Housing display notices in the newspaper		Publish two notices	Published notice in the West Valley View
Disseminate fair housing information in English and Spanish		Distribute 2000 flyers or brochures	Distributed Fair Housing Information to approx. 1500 persons
Maintain City web page providing public with information about fair housing laws and resources for filing complaints		Maintain webpage	Fair Housing webpage maintained which provides information and links to the AZ Attorney General, HUD and the Southwest Fair Housing Council sites.
Include Fair Housing Component with SW Valley HOA Academy		2 events	Included in two events

Jurisdiction

Homeless			
Activity	Source	Desired Output	Actual Output
Provide emergency utility assistance and rent/mortgage assistance through the Community Action Program	Maricopa County Human Services: \$127,495	Provide utility assistance to 1,000 families	898 families provided with utility assistance and rental/mortgage assistance
Fund local non-profit agencies serving low-income and special needs populations in Avondale Through the Contributions Assistance Program and other resources.	Gen Funds \$86,667	Assist 2,000 persons	Assisted 15,610 persons

Anti-Poverty			
Activity	Source	Desired Output	Actual Output
Fund local non-profit agencies serving low-income and special needs populations in Avondale Through the Contributions Assistance Program	Gen Funds \$86,667	Assist 2,000 persons	Assisted 15,610 persons

Non-Homeless Special Needs			
Activity	Source	Desired Output	Actual Output
Fund local non-profit agencies serving low-income and special needs populations in Avondale Through the Contributions Assistance Program	Gen Funds \$86,667	Assist 2,000 families	Assisted 15,610 persons
Provide congregate and in-home delivered meals daily for seniors through the Avondale Community/Senior Center	Area Agency on Aging: \$300,564	Provide 50 meals to seniors daily	Total of 14,721 home-delivered meals and 13,955 congregate meals provided

Jurisdiction

Serve seniors with recreational activities through the Avondale Community/Senior Center	Area Agency on Aging: \$300,564	Serve 50 seniors daily	Served 20,811 seniors with activity assistance
Serve seniors with transportation services	Area Agency on Aging: \$300,564	Serve 50 seniors daily	Provided transportation services to 12,830 seniors

The City leveraged \$1,238,237 in private and public funds from a variety of sources that work in concert with its housing and community development programs to more holistically serve the needs of Avondale residents.

Public and Private Leveraged Funds		
Program	Source	Amount
Contributions Assistance Program	City General Funds	\$86,667
Weatherization	Maricopa County	\$50,000
Youth Development Programs	City General Funds	\$25,000
Avondale Community Center	Area Agency on Aging	\$300,564
Community Action Program	Maricopa County	\$127,495
Care 1 st Avondale Resource and Housing Center	Care 1 st Health Plan of Arizona	\$123,097
Early Childhood Development	First Things First	\$175,000
Youth Education	Kids at Hope	\$4,500
Senior Citizen Services	Area Agency on Aging	\$305,564
Avondale Crisis	General Funds	\$17,000
Americorps VISTA	General Funds	\$23,350
Total		\$1,238,237

Federal resources enhanced existing programs by adding services that the City was able to provide to its residents. These resources directly leveraged the Community Action Program, Youth Development Programs and the Contributions Assistance Program by adding the ability to offer weatherization, financial assistance, expansion and implementation of special programming to meet the human service needs of Avondale residents.

Managing the Process

1. Describe actions taken during the last year to ensure compliance with program and comprehensive planning requirements.

Program Year 5 CAPER Managing the Process response:

The City of Avondale manages its CDBG program utilizing a systematic approach. Activities considered during the consolidated planning process are tested for adherence to 24 CFR Part 570.208 with respect to meeting one of the following national objectives: 1) Benefit to Low-Income; 2) Elimination of Slum and Blight; and 3) Urgent Community Need. For Avondale, all activities meet the low-income benefit objective with the exception of one demolition project funded under slum/blight (spot basis). The eligibility of all activities is verified pursuant to 24 CFR 570.201. Final selection of CDBG activities is accomplished through the public participation process and requirements outlined in 24 CFR Part 570.486. Once a list of proposed activities is developed, City staff obtain citizen comment in a series of public forums at least one of which is a formal public hearing. Following public input City staff make a final recommendation to the City Council which in turn makes the final decision on the use of CDBG funds in a subsequent public hearing. Special efforts are made to include low-income persons, minorities and non-English speaking persons, as well as persons with disabilities.

Activities are carried out either by City staff or by private non-profit organizations that enter into a formal contract with the City which specifies all local, state and federal regulations with which the agency must comply. Each contract contains performance criteria which defines the minimum progress each agency must make to remain in compliance with the contract. Progress reports are required on a regular basis to assist in determining the agencies overall performance. Monitoring of the agencies is performed to ensure all regulatory and contractual requirements are being met. If deficiencies are discovered, they are dealt with immediately, and technical assistance is provided to bring the agency into full compliance. Should the agency be unable or unwilling to comply with the regulations and performance criteria outlined in the contract, the City would exercise its right to invoke the remediation and/or termination clause of the contract.

The only funding source requiring match used by the City of Avondale are HOME funds received through the Maricopa County HOME consortium. Matching requirements are met by City General Funds.

Citizen Participation

1. Provide a summary of citizen comments.
2. In addition, the performance report provided to citizens must identify the Federal funds made available for furthering the objectives of the Consolidated Plan. For each formula grant program, the grantee shall identify the total amount of funds available (including estimated program income), the total amount of funds

committed during the reporting period, the total amount expended during the reporting period, and the geographic distribution and location of expenditures. Jurisdictions are encouraged to include maps in describing the geographic distribution and location of investment (including areas of minority concentration). The geographic distribution and expenditure requirement may also be satisfied by specifying the census tracts where expenditures were concentrated.

*Please note that Citizen Comments and Responses may be included as additional files within the CPMP Tool.

Program Year 5 CAPER Citizen Participation response:

A draft of the CAPER was posted on the City of Avondale website at www.avondale.org which provided details and contact information for the 15-day comment period which ran from September 8, 2015 to September 17, 2014. A display advertisement was published on September 2, 2014 in the West Valley View announcing the availability of the CAPER for public review and comment. Printed copies of the CAPER were available for review at the Avondale Community Center, Avondale City Hall and the Care 1st Housing and Resource Center. Documentation of the public notice can be found in the Appendices.

Summary of Comments Received: *No comments received during the public comment period.*

Use of Federal Funds for Consolidated Plan Objectives

The majority of federal funding is targeted to serve Avondale’s low-income neighborhoods of Historic Avondale (Census Tract 612.00, 614.00), Cashion (Census Tract 822.01) and Las Ligas/Rio Vista (Census Tract 822.01). Avondale’s low-income Census Tracts contain the highest percentage of minority households, primarily Hispanic/Latino and African American.

CDBG Funds Expended per Geographic Area (excludes administration)		
Activity	CDBG Funds Expended	Geographic Area
Infrastructure	\$229,789	Historic Avondale, Census Tract: 614
Youth Job Training	\$21,173	City Wide with emphasis in the following neighborhoods: Historic Avondale, Census Tracts: 612.00, 614.00. Cashion, Census Tract: 822.02 Las Ligas/Rio Vista: Census Tract: 822.01
Demolition and Clearance	\$16,445	Cashion, Census Tract: 822.02
Emergency Home Repair	\$105,324	City Wide with emphasis in the following neighborhoods: Historic Avondale, Census Tracts: 612.00, 614.00 Cashion, Census Tract: 822.02 Las Ligas/Rio Vista: Census Tract: 822.01
Revitalization/Small Business Assistance	\$12,072	Western Avenue area within Historic Avondale, Census Tract 612.00 and 614.00

HOME Funds Expended per Geographic Area (excludes administration)		
Activity	HOME Funds Expended	Geographic Area
Substantial Housing Rehabilitation	\$196,719.75 (HOME Consortium and ADOH)	All substantial rehabilitation projects were conducted in the following low-moderate income neighborhoods: Historic Avondale, Census Tracts: 612.00, 6140.0. Cashion, Census Tract: 822.02 Las Ligas/Rio Vista: Census Tract: 822.01

The following table illustrates the City’s accomplishments with respect to Race/Ethnicity, Income and Special Needs populations.

Race and Ethnicity		
Race	Housing Rehab (Households)	Youth Services (Persons)
White	6	5
African American	0	5
Multi-Racial	5	8
American Indian	0	1
Total	11	19
Ethnicity - Hispanic		
Total Hispanic	10	14
Special Needs – Household Type (some HH may fit more than one type)		
Elderly/Frail Elderly	8	1
Single Parent	1	12
Two Parent	2	6
Other/Not Related	0	0
Female HH	4	12
Disabled	4	0
Homeless/Non-Homeless Assisted Households		
Homeless	0	0
Non-Homeless	11	11
Income		
Non – Moderate Income >80%	0	1
Low – Moderate Income 51%-80%	2	7
Very Low Income 31%-50%	4	4
Extremely Low Income 0%-30%AM	5	7

Institutional Structure

1. Describe actions taken during the last year to overcome gaps in institutional structures and enhance coordination.

Program Year 5 CAPER Institutional Structure response:

The CDBG program is administered within the Neighborhood and Family Services Department (NFSD) of the City of Avondale. To overcome gaps in institutional structure the NFSD cooperates with other city departments essential to the administration of the CDBG program to ensure awareness of performance and

compliance requirements. NFSD ensures that adequate public participation is obtained during program development and implementation. The NFSD relies on local non-profit organizations, advisory boards, planning commissions and City Council meetings to inform the public of the Consolidated and Annual Action Planning process.

The Neighborhood and Family Services Commission (NFSC) is the City advisory board to the NFSD for the implementation of the CDBG program. NFSD staff meets with the commission once per month.

The NFSD was actively involved with following organizations during the program year.

- a. The Neighborhood and Family Services Commission
- b. Maricopa County Human Services Department
- c. Arizona Department of Housing
- d. Neighborhood Housing Services of Phoenix
- e. Foundation for Senior Living (FSL)
- f. Arizona Multi-Housing Association (AMA)
- g. Maricopa Association of Governments Human Services Coordinating Committee
- h. Maricopa Association of Governments Transportation Policy Committee
- i. Maricopa Association of Governments Human Service Coordinating Committee Youth Policy Stakeholders Group
- j. Maricopa Association of Governments Regional Homeless Board
- k. Local Initiatives Support Corporation
- l. The U.S. Department of Housing and Urban Development
- m. Arizona State Historic Preservation Office
- n. The Southwest Fair Housing Council
- o. The Arizona Fair Housing Partnership Program

Monitoring

1. Describe how and the frequency with which you monitored your activities.
2. Describe the results of your monitoring including any improvements.
3. Self Evaluation
 - a. Describe the effect programs have in solving neighborhood and community problems.
 - b. Describe progress in meeting priority needs and specific objectives and help make community's vision of the future a reality.
 - c. Describe how you provided decent housing and a suitable living environment and expanded economic opportunity principally for low and moderate-income persons.
 - d. Indicate any activities falling behind schedule.
 - e. Describe how activities and strategies made an impact on identified needs.
 - f. Identify indicators that would best describe the results.

- g. Identify barriers that had a negative impact on fulfilling the strategies and overall vision.
- h. Identify whether major goals are on target and discuss reasons for those that are not on target.
- i. Identify any adjustments or improvements to strategies and activities that might meet your needs more effectively.

Program Year 5 CAPER Monitoring response:

The City of Avondale systematically monitors all CDBG funded activities to ensure sufficient progress is being made, and to ensure compliance with applicable federal, state and local regulations. The City enters into contracts with local non-profit agencies to conduct a portion of its CDBG and HOME funded activities. Each sub-recipient and contractor is required to submit reports detailing their progress in meeting the performance criteria outlined in their contracts. The City assesses progress also by monitoring pay requests to ensure that the amount of funds being drawn down is proportionate to scheduled progress. On-site monitoring visits are conducted to ensure that sub-recipients are complying with the contract as well as all local, state and federal regulations. Sub-recipient files are thoroughly reviewed to ensure that compliance with rules has been adequately documented. Office space is inspected to ensure that it is accessible and that information related to fair housing and equal opportunity is clearly posted. The monitoring improves the performance of the sub-recipient and overall effectiveness of the program.

The City continues its efforts to rebuild the physical infrastructure of its low-moderate income neighborhoods while addressing emergency and long-term community development and housing needs. HUD CPD funded programs, coupled with services provided through other means, enable the City to more holistically meet the needs of the low-moderate income community. This collaboration among various programs serves to more significantly impact the housing, health and human services needs of Avondale residents.

Through street and infrastructure reconstruction, whole neighborhoods are being revitalized resulting in an arrest of any further decline of the infrastructure and the provision of improved utilities. It is anticipated that new growth and area revitalization will be stimulated by these efforts.

Housing rehabilitation activities continue to preserve the largest supply of affordable housing in Avondale by improving the aesthetics of some of the City's oldest neighborhoods while making homes healthier and safer for residents and more conducive to personal and educational growth. Many of the homes that have been rehabilitated experience improved energy efficiency, resulting in lower utility costs for the homeowner and increased sustainability. While a significant need for this type of assistance remains, the program's success has resulted in the ability to obtain additional grant funding from the Arizona Department of Housing in two separate years and additional funding through the Maricopa County Weatherization program are leveraged every year for this purpose.

Public services, such as youth job education and job training programs are helping to break the cycle of poverty while developing a more skilled work force for the benefit of the local economy. Through the successful summer youth employment program, youth are provided training in the areas of finance, budgeting and work readiness skills. A paid work experience, in which they are exposed to various professions, is also provided and participants are encouraged to pursue post-secondary education and are offered tuition assistance for this purpose.

Homebuyer assistance has made it possible for families that would not otherwise have the means to achieve the long-term financial security and stability that comes from homeownership. Furthermore, homebuyer assistance is affirmatively furthering fair housing by allowing buyers more choice by strengthening their buying power and subsequently providing them the ability to purchase virtually anywhere within the city.

While much has been accomplished to overcome the barriers caused by poverty and progress has been made in fulfilling the strategies and goals identified in the consolidated plan, there remains much work to be done in these areas. Barriers to greater success within these objectives continue to be the following: 1) continued decline in property values; 2) high unemployment; and 3) Asset Poverty – the lack of homeownership, education, savings, credit, insurance protection and personal net worth.

Major goals are on target and significant progress toward meeting those goals was accomplished during this program year. Necessary improvements that would allow for the city to more effectively meet community needs continue to be a needed increase in non-profit capacity, grant acquisition and increased funding.

Lead-based Paint

1. Describe actions taken during the last year to evaluate and reduce lead-based paint hazards.

Program Year 5 CAPER Lead-based Paint response:

Through the City's Emergency and Substantial Home Rehabilitation programs, education and information regarding lead hazards is provided to homeowners. Lead testing and abatement is conducted as part of the rehabilitation programs as needed. In the last program year, approximately 16% of the homes tested positive for lead and required lead abatement to some degree. The City also disseminates information regarding lead hazards through non-rehabilitation activities, including the Community Action Program, and disseminates information at various city locations and events throughout the year.

HOUSING

Housing Needs

*Please also refer to the Housing Needs Table in the Needs.xls workbook.

1. Describe Actions taken during the last year to foster and maintain affordable housing.

Program Year 5 CAPER Housing Needs response:

Specific Housing Objectives

1. Evaluate progress in meeting specific objective of providing affordable housing, including the number of extremely low-income, low-income, and moderate-income renter and owner households comparing actual accomplishments with proposed goals during the reporting period.
2. Evaluate progress in providing affordable housing that meets the Section 215 definition of affordable housing for rental and owner households comparing actual accomplishments with proposed goals during the reporting period.
3. Describe efforts to address “worst-case” housing needs and housing needs of persons with disabilities.

Program Year 5 CAPER Specific Housing Objectives response:

The City’s overall housing goal was to complete 3-4 substantial rehabilitation and 14-16 emergency rehabilitation projects for a total of 17-20 housing units being assisted. The City assisted 8 households through its CDBG and HOME funded rehabilitation programs and an additional 3 households through its ADOH funded housing rehabilitation program thus meeting the City’s rehabilitation goals for the year.

Owner Households with Any Housing Problems		
Income	Goal	Actual
Less than 30% AMI	14-16 Emergency Rehab, 3-4 Substantial Rehab	5
31% to 50% AMI		4
51% to 80% AMI		2
80% to 120% AMI (NSP only)	Total Unit Goal – 17-20 Units	0

Public Housing Strategy

1. Describe actions taken during the last year to improve public housing and resident initiatives.

Program Year 5 CAPER Public Housing Strategy response:

NA – The City of Avondale does not own/operate any public housing units.

Barriers to Affordable Housing

1. Describe actions taken during the last year to eliminate barriers to affordable housing.

Program Year 5 CAPER Barriers to Affordable Housing response:

In an effort to address barriers to affordable housing, the city carried out/implemented the following:

- Conducted a Fair Housing workshop, disseminated fair housing information in English and Spanish and maintained a Fair Housing webpage on the City's website
- Offered homebuyer education and basic home repair workshops on an ongoing basis through collaboration with partner agencies
- Disseminated information at various locations and events regarding available programs
- Held 2 HOA Summits in which topics included property registration and licensing, landlord/tenant rights, Fair Housing, sales tax collection and reporting, and various other housing related topics

HOME/ American Dream Down Payment Initiative (ADDI)

1. Assessment of Relationship of HOME Funds to Goals and Objectives
 - a. Evaluate progress made toward meeting goals for providing affordable housing using HOME funds, including the number and types of households served.
2. HOME Match Report
 - a. Use HOME Match Report HUD-40107-A to report on match contributions for the period covered by the Consolidated Plan program year.
3. HOME MBE and WBE Report
 - a. Use Part III of HUD Form 40107 to report contracts and subcontracts with Minority Business Enterprises (MBEs) and Women's Business Enterprises (WBEs).
4. Assessments
 - a. Detail results of on-site inspections of rental housing.
 - b. Describe the HOME jurisdiction's affirmative marketing actions.
 - c. Describe outreach to minority and women owned businesses.

Program Year 5 CAPER HOME/ADDI response:

Avondale's HOME funded activities are reported as a part of the Maricopa County HOME Consortium CAPER. Following is a brief description of those activities and the outcomes:

<p>Substantial Home Rehabilitation:</p> <p>Activity - Conduct Substantial Repair Program preserving the housing stock and bringing units up to code.</p> <p>Goal – Complete 3-4 units</p>	<p>Outcome – 3 units were completed with Maricopa HOME Consortium funds and an additional 5 units were completed using funding received from the Arizona Department of Housing.</p>
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All matching requirements were met by either private funds or Avondale general funds.

MBE/WBE Outreach (HUD Form 40107 to be included in the Appendices)

The City of Avondale will comply with HUD's responsibilities under Executive Orders 11625, 1234 concerning Minority Business Enterprises (MBE) and 12138 concerning Women's Business Enterprises (WBE) making all efforts to encourage the use of minority and women's business enterprises in connection with public works contracts, CDBG, and HOME funded activities. (HUD Form 40107 for HOME funded activities is included as part of the Maricopa County HOME Consortium CAPER)

Affirmative Marketing Actions

The City widely markets its programs through press releases, written program information and its web site which details all available programs and the parameters under which citizens may gain access to its services. All written information includes the following language to ensure that all citizens have access.

It is the policy of the City of Avondale to serve all persons on a first-qualified-first served basis regardless of race, color, religion, gender, national origin, age or disability. Persons requiring hearing, visual, mobility, language or other accommodations may contact the City at 623-333-2700; TDD 623-333-0010 or myfirsthome@avondale.org to make special arrangements.

In addition the following icons can be found on all printed materials providing program information:



HOMELESS

Homeless Needs

*Please also refer to the Homeless Needs Table in the Needs.xls workbook.

1. Identify actions taken to address needs of homeless persons.

2. Identify actions to help homeless persons make the transition to permanent housing and independent living.
3. Identify new Federal resources obtained from Homeless SuperNOFA.

Program Year 5 CAPER Homeless Needs response:

Homeless			
Activity	Source	Desired Output	Actual Output
Provide emergency utility assistance and rent/mortgage assistance through the Community Action Program	Maricopa County Community Action Program	Assist 1,000 families	Assisted 898 families with utility and rent/mortgage assistance
Fund local non-profit agencies serving low-income and special needs populations in Avondale Through the Contributions Assistance Program and other resources.	City of Avondale General Funds	Assist 2,000 individuals	Assisted \$15,610 individuals

Specific Homeless Prevention Elements

1. Identify actions taken to prevent homelessness.

Program Year 5 CAPER Specific Housing Prevention Elements response:

The table below details actions taken by the City to prevent homelessness. These activities were funded through the Community Action Program.

Homeless Prevention		
Activity	HH Served	Expenditure
Weatherization	3	\$30,000
Utility and Rental/Mortgage Assistance	898	\$

Additionally, the City of Avondale participates in the MAG Continuum of Care Regional Committee on Homelessness. This includes participation in the annual homeless street count and other homeless prevention events during the year.

Emergency Shelter Grants (ESG)

1. Identify actions to address emergency shelter and transitional housing needs of homeless individuals and families (including significant subpopulations such as those living on the streets).
2. Assessment of Relationship of ESG Funds to Goals and Objectives
 - a. Evaluate progress made in using ESG funds to address homeless and homeless prevention needs, goals, and specific objectives established in the Consolidated Plan.
 - b. Detail how ESG projects are related to implementation of comprehensive homeless planning strategy, including the number and types of individuals and persons in households served with ESG funds.
3. Matching Resources
 - a. Provide specific sources and amounts of new funding used to meet match as required by 42 USC 11375(a)(1), including cash resources, grants, and staff salaries, as well as in-kind contributions such as the value of a building or lease, donated materials, or volunteer time.
4. State Method of Distribution
 - a. States must describe their method of distribution and how it rated and selected its local government agencies and private nonprofit organizations acting as subrecipients.
5. Activity and Beneficiary Data
 - a. Completion of attached Emergency Shelter Grant Program Performance Chart or other reports showing ESGP expenditures by type of activity. Also describe any problems in collecting, reporting, and evaluating the reliability of this information.
 - b. Homeless Discharge Coordination
 - i. As part of the government developing and implementing a homeless discharge coordination policy, ESG homeless prevention funds may be used to assist very-low income individuals and families at risk of becoming homeless after being released from publicly funded institutions such as health care facilities, foster care or other youth facilities, or corrections institutions or programs.
 - c. Explain how your government is instituting a homeless discharge coordination policy, and how ESG homeless prevention funds are being used in this effort.

Program Year 5 CAPER ESG response:

NA – The City of Avondale does not receive ESG funding.

COMMUNITY DEVELOPMENT

Community Development

*Please also refer to the Community Development Table in the Needs.xls workbook.

1. Assessment of Relationship of CDBG Funds to Goals and Objectives
 - a. Assess use of CDBG funds in relation to the priorities, needs, goals, and specific objectives in the Consolidated Plan, particularly the highest priority activities.

- b. Evaluate progress made toward meeting goals for providing affordable housing using CDBG funds, including the number and types of households served.
 - c. Indicate the extent to which CDBG funds were used for activities that benefited extremely low-income, low-income, and moderate-income persons.
2. Changes in Program Objectives
 - a. Identify the nature of and the reasons for any changes in program objectives and how the jurisdiction would change its program as a result of its experiences.
3. Assessment of Efforts in Carrying Out Planned Actions
 - a. Indicate how grantee pursued all resources indicated in the Consolidated Plan.
 - b. Indicate how grantee provided certifications of consistency in a fair and impartial manner.
 - c. Indicate how grantee did not hinder Consolidated Plan implementation by action or willful inaction.
4. For Funds Not Used for National Objectives
 - a. Indicate how use of CDBG funds did not meet national objectives.
 - b. Indicate how did not comply with overall benefit certification.
5. Anti-displacement and Relocation – for activities that involve acquisition, rehabilitation or demolition of occupied real property
 - a. Describe steps actually taken to minimize the amount of displacement resulting from the CDBG-assisted activities.
 - b. Describe steps taken to identify households, businesses, farms or nonprofit organizations who occupied properties subject to the Uniform Relocation Act or Section 104(d) of the Housing and Community Development Act of 1974, as amended, and whether or not they were displaced, and the nature of their needs and preferences.
 - c. Describe steps taken to ensure the timely issuance of information notices to displaced households, businesses, farms, or nonprofit organizations.
6. Low/Mod Job Activities – for economic development activities undertaken where jobs were made available but not taken by low- or moderate-income persons
 - a. Describe actions taken by grantee and businesses to ensure first consideration was or will be given to low/mod persons.
 - b. List by job title of all the permanent jobs created/retained and those that were made available to low/mod persons.
 - c. If any of jobs claimed as being available to low/mod persons require special skill, work experience, or education, provide a description of steps being taken or that will be taken to provide such skills, experience, or education.
7. Low/Mod Limited Clientele Activities – for activities not falling within one of the categories of presumed limited clientele low and moderate income benefit
 - a. Describe how the nature, location, or other information demonstrates the activities benefit a limited clientele at least 51% of whom are low- and moderate-income.

8. Program income received
 - a. Detail the amount of program income reported that was returned to each individual revolving fund, e.g., housing rehabilitation, economic development, or other type of revolving fund.
 - b. Detail the amount repaid on each float-funded activity.
 - c. Detail all other loan repayments broken down by the categories of housing rehabilitation, economic development, or other.
 - d. Detail the amount of income received from the sale of property by parcel.
9. Prior period adjustments – where reimbursement was made this reporting period for expenditures (made in previous reporting periods) that have been disallowed, provide the following information:
 - a. The activity name and number as shown in IDIS;
 - b. The program year(s) in which the expenditure(s) for the disallowed activity(ies) was reported;
 - c. The amount returned to line-of-credit or program account; and
 - d. Total amount to be reimbursed and the time period over which the reimbursement is to be made, if the reimbursement is made with multi-year payments.
10. Loans and other receivables
 - a. List the principal balance for each float-funded activity outstanding as of the end of the reporting period and the date(s) by which the funds are expected to be received.
 - b. List the total number of other loans outstanding and the principal balance owed as of the end of the reporting period.
 - c. List separately the total number of outstanding loans that are deferred or forgivable, the principal balance owed as of the end of the reporting period, and the terms of the deferral or forgiveness.
 - d. Detail the total number and amount of loans made with CDBG funds that have gone into default and for which the balance was forgiven or written off during the reporting period.
 - e. Provide a List of the parcels of property owned by the grantee or its subrecipients that have been acquired or improved using CDBG funds and that are available for sale as of the end of the reporting period.
11. Lump sum agreements
 - a. Provide the name of the financial institution.
 - b. Provide the date the funds were deposited.
 - c. Provide the date the use of funds commenced.
 - d. Provide the percentage of funds disbursed within 180 days of deposit in the institution.
12. Housing Rehabilitation – for each type of rehabilitation program for which projects/units were reported as completed during the program year
 - a. Identify the type of program and number of projects/units completed for each program.
 - b. Provide the total CDBG funds involved in the program.
 - c. Detail other public and private funds involved in the project.
13. Neighborhood Revitalization Strategies – for grantees that have HUD-approved neighborhood revitalization strategies

Jurisdiction

- a. Describe progress against benchmarks for the program year. For grantees with Federally-designated EZs or ECs that received HUD approval for a neighborhood revitalization strategy, reports that are required as part of the EZ/EC process shall suffice for purposes of reporting progress.

Program Year 5 CAPER Community Development response:

Community Development			
Activity	Source	Desired Output	Actual Output
Implement the Downtown Revitalization Plan	CDBG	Implement a variety of programs to assist businesses in the Historic Avondale Revitalization Area	Revitalization efforts included assisting with the development of a Merchants Association and Mosaic Arts Center and providing liaison services between the businesses and City Departments.
The City will assess and plan for recreational, infrastructure and facility needs through the Capital Improvements Program	\$1,000,000	Upgrade infrastructure and recreational amenities throughout the City	Implemented Capital Improvement Plan which includes sewer upgrades and street reconstruction of 5 street in Historic Avondale

At the end of the third program year, there is no intention of making any changes in the program objectives. The current programs continue to meet the needs of the community as well as the goals and strategies identified in the consolidated plan.

All dollar amounts indicated in the Consolidated Plan were committed through a written contract or through the city budgeting system ensuring that all resources were utilized and accounted for or reallocated according to Office of Management and Budget directives. No Certifications of Consistency with the Consolidated Plan were issued, however, if they had been necessary the proposed activity would have been assessed against the goals and objectives of the Consolidated Plan to ensure consistency without regard to the proposing agency. All inquiries into Consolidated Plan implementation are documented and a matter of public record. The record shows no contrary action or willful inaction.

No funds were used for activities that did not meet CDBG national objectives.

Temporary relocation was only necessary in some instances through the Owner-Occupied Housing Rehabilitation activities. When necessary, temporary relocation was provided in the form of an extended-stay hotel and costs were provided for through the program.

No Low/Mod Job Activities were undertaken during the program year.

The Youth Services program serves a limited clientele (youth age 16-21) and targets the low-moderate income neighborhoods within the city that are also minority concentration areas. Household income and size information is gathered from each participant to ensure that a minimum of 51% of the participants are low-moderate income. In this program year, 93% of the participants were at or below 80% AMI.

No Program Income was received during the program year nor did the City have any prior period adjustments, loans or lump sum agreements.

Antipoverty Strategy

1. Describe actions taken during the last year to reduce the number of persons living below the poverty level.

Program Year 5 CAPER Antipoverty Strategy response:

Anti-Poverty			
Activity	Source	Desired Output	Actual Output
Fund local non-profit agencies serving low-income and special needs populations in Avondale Through the Contributions Assistance Program	City of Avondale General Funds	Assist 2,000 individuals	Assisted 15,610 individuals
Provide emergency utility assistance and rent/mortgage assistance through the Community Action Program	Maricopa County Community Action Program	Assist 1,000 families	898 families provided with utility and rental/mortgage assistance

NON-HOMELESS SPECIAL NEEDS

Non-homeless Special Needs

*Please also refer to the Non-homeless Special Needs Table in the Needs.xls workbook.

1. Identify actions taken to address special needs of persons that are not homeless but require supportive housing, (including persons with HIV/AIDS and their families).

Program Year 5 CAPER Non-homeless Special Needs response:

Non-Homeless Special Needs			
Activity	Source	Desired Output	Actual Output
Provide congregate and in-home delivered meals daily for seniors through the Avondale Community/Senior Center	Area Agency on Aging: \$300,564	Provide 50 meals to seniors daily	Total of 14,721 home-delivered meals and 13,955 congregate meals provided

Serve seniors with recreational activities through the Avondale Community/Senior Center	Area Agency on Aging: \$300,564	Serve 50 seniors daily	Served 20,811 seniors with activity assistance
Serve seniors with transportation services	Area Agency on Aging: \$300,564	Serve 50 seniors daily	Provided transportation services to 12,830 seniors

Specific HOPWA Objectives

*Please also refer to the HOPWA Table in the Needs.xls workbook.

1. Overall Assessment of Relationship of HOPWA Funds to Goals and Objectives
 Grantees should demonstrate through the CAPER and related IDIS reports the progress they are making at accomplishing identified goals and objectives with HOPWA funding. Grantees should demonstrate:
 - a. That progress is being made toward meeting the HOPWA goal for providing affordable housing using HOPWA funds and other resources for persons with HIV/AIDS and their families through a comprehensive community plan;
 - b. That community-wide HIV/AIDS housing strategies are meeting HUD’s national goal of increasing the availability of decent, safe, and affordable housing for low-income persons living with HIV/AIDS;
 - c. That community partnerships between State and local governments and community-based non-profits are creating models and innovative strategies to serve the housing and related supportive service needs of persons living with HIV/AIDS and their families;
 - d. That through community-wide strategies Federal, State, local, and other resources are matched with HOPWA funding to create comprehensive housing strategies;
 - e. That community strategies produce and support actual units of housing for persons living with HIV/AIDS; and finally,
 - f. That community strategies identify and supply related supportive services in conjunction with housing to ensure the needs of persons living with HIV/AIDS and their families are met.

2. This should be accomplished by providing an executive summary (1-5 pages) that includes:
 - a. Grantee Narrative
 - i. Grantee and Community Overview
 - (1) A brief description of your organization, the area of service, the name of each project sponsor and a broad overview of the range/type of housing activities and related services
 - (2) How grant management oversight of project sponsor activities is conducted and how project sponsors are selected
 - (3) A description of the local jurisdiction, its need, and the estimated number of persons living with HIV/AIDS

- (4) A brief description of the planning and public consultations involved in the use of HOPWA funds including reference to any appropriate planning document or advisory body
 - (5) What other resources were used in conjunction with HOPWA funded activities, including cash resources and in-kind contributions, such as the value of services or materials provided by volunteers or by other individuals or organizations
 - (6) Collaborative efforts with related programs including coordination and planning with clients, advocates, Ryan White CARE Act planning bodies, AIDS Drug Assistance Programs, homeless assistance programs, or other efforts that assist persons living with HIV/AIDS and their families.
- ii. Project Accomplishment Overview
 - (1) A brief summary of all housing activities broken down by three types: emergency or short-term rent, mortgage or utility payments to prevent homelessness; rental assistance; facility based housing, including development cost, operating cost for those facilities and community residences
 - (2) The number of units of housing which have been created through acquisition, rehabilitation, or new construction since 1993 with any HOPWA funds
 - (3) A brief description of any unique supportive service or other service delivery models or efforts
 - (4) Any other accomplishments recognized in your community due to the use of HOPWA funds, including any projects in developmental stages that are not operational.
 - iii. Barriers or Trends Overview
 - (1) Describe any barriers encountered, actions in response to barriers, and recommendations for program improvement
 - (2) Trends you expect your community to face in meeting the needs of persons with HIV/AIDS, and
 - (3) Any other information you feel may be important as you look at providing services to persons with HIV/AIDS in the next 5-10 years
- b. Accomplishment Data
 - i. Completion of CAPER Performance Chart 1 of Actual Performance in the provision of housing (Table II-1 to be submitted with CAPER).
 - ii. Completion of CAPER Performance Chart 2 of Comparison to Planned Housing Actions (Table II-2 to be submitted with CAPER).

Program Year 5 CAPER Specific HOPWA Objectives response:

NA – the City of Avondale does not receive HOPWA funds.

OTHER NARRATIVE

Include any CAPER information that was not covered by narratives in any other section.

Program Year 5 CAPER Other Narrative response: No additional narrative